THE CAPITAL MARKETS AND SECURITIES ACT (CAP. 70)

REGULATIONS

(Made under section 148)

THE CAPITAL MARKETS AND SECURITIES (LICENSING) (AMENDMENT) REGULATIONS, 2016

1. These Regulations may be cited as the Capital Markets and Securities (Licensing) (Amendment) Regulations, 2016 and shall be read as one with the Capital Markets and Securities (Licensing) Regulations, 1996, hereinafter referred to as the “principal Regulations”.

2. The principal Regulations are amended in the First Schedule by deleting the Form 7 and substituting for it the following:

“FORM 7

LICENCE

THE CAPITAL MARKETS AND SECURITIES ACT (CAP. 79)
(Regulation 14)

Licence No. ..........................................................
THIS LICENCE is granted to .................................................................
(name)
of...........................................................................................................
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...(both dates inclusive).

THIS LICENCE is issued subject to the Capital markets and Securities Act, the
Regulations and Rules made thereunder and such conditions as by the Authority
and the stock exchange (of which the applicant is or proposes to be a member)
may stipulate.

Dated the .................................................. 20...........

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Chief Executive Officer
Capital Markets and Securities Authority”

Dar es Salaam,
27th September, 2016

PHILIP I. MPANGO,
Minister for Finance and Planning